

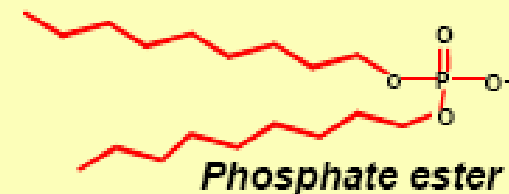
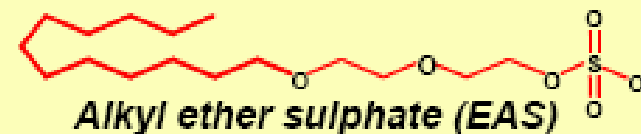
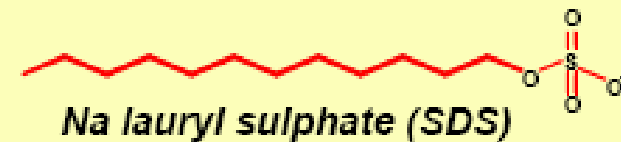
# Self-Assembly

## Lecture 3 Surfactants Self-Assembly

# Anionic surfactants

- **Largest group of surfactants**  
(~65% world market)
- **Sensitive to electrolytes** (salt and  $\text{Ca}^{2+}$ )
- **Sulphates hydrolyse at low pH**, otherwise chemically stable
- **Soaps still important** (foam and washing)
- **Alkylether sulphates**, lower cmc, compatible low pH and high hardness.
- **Phosphate esters**; good wetting agents, corrosion inhibitors, antistatic properties

Biodegradable under aerobic conditions  
Shampoos, handsoaps, laundry detergents

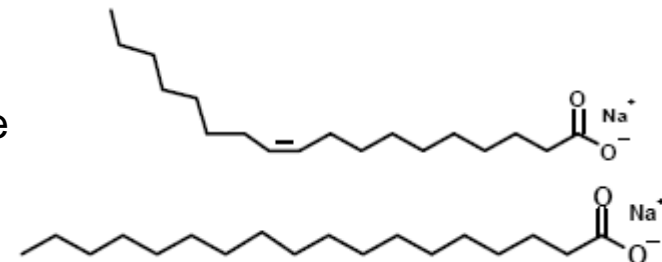


fatty acids

unsaturated

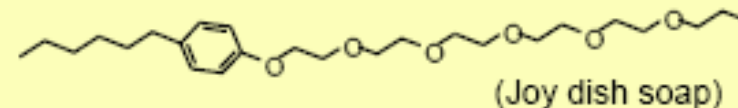
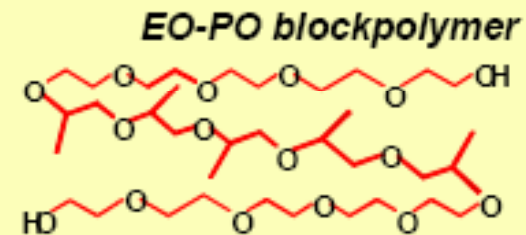
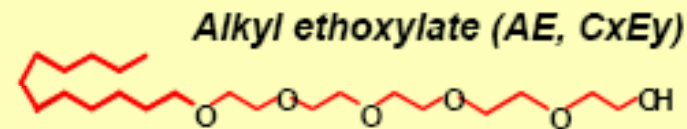
omega-3 – 3<sup>rd</sup> carbon from the methyl end has double bond

saturated



# Non-ionic surfactants

- **Second largest group of surfactants** (~25% world market)
- **Dominated by polyoxyethylene products**
- **Not sensitive to salts (e.g.  $\text{Ca}^{2+}$ )**
- **Temperature sensitive:** water solubility reduced at higher T
- **Much lower cmc than anionic surfactants; efficient at low concentrations**



Spans (Sorbitan Esters)  
Tweens (Polyoxyethylene Sorbitan Esters)

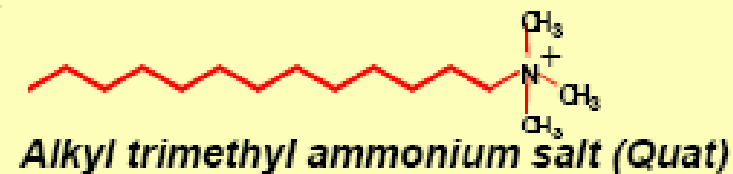
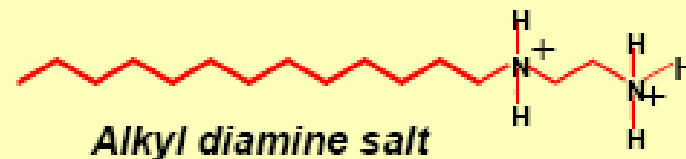
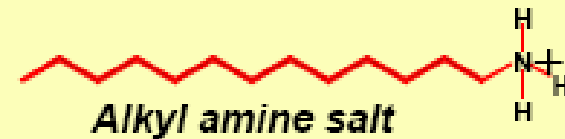
Smooth rinsing (no water spots)  
Dish soaps, window washers, insecticides

# Cationic surfactants

- Composed of a quaternary amino group as cationic head
- Not compatible with anionic surfactants
- Adsorbs strongly to many surfaces
- Used to modify surface properties:

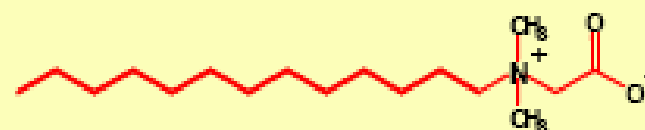
Textile softener  
Adhesion promoter  
Corrosion inhibitor  
Anticaking agent  
Mineral flotation  
Desinfectant

- Counter ions: halides ( $\text{Cl}^-$ ,  $\text{Br}^-$ ) or organic ( $\text{MeSO}_4^-$ )
  - More toxic than anionic surfactants

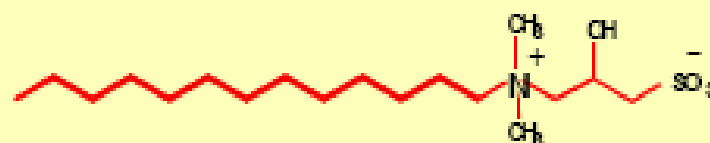


# Zwitterionic surfactants

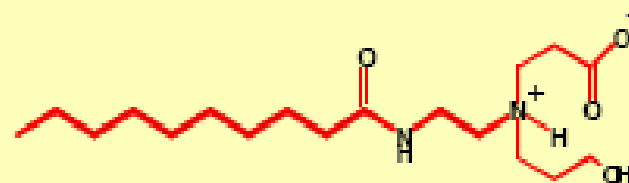
- Smallest group of surfactants
- Usually composed of a quaternary aminogroup and a sulphonic or carboxylic acid
- Charge depends on pH
- Very mild and low irritation, used in shampoos etc.
- Generally stable in acids and alkali



*Alkyl betaine*

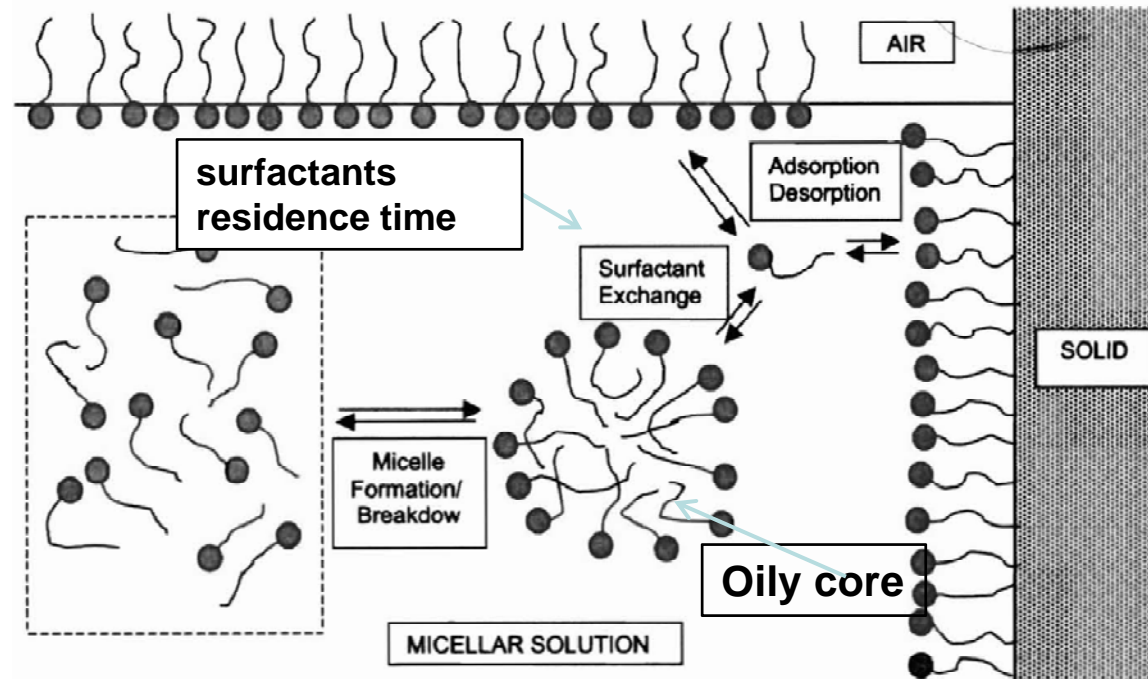


*Alkyl sulphobetaine*



*Alkyl imidazoline*

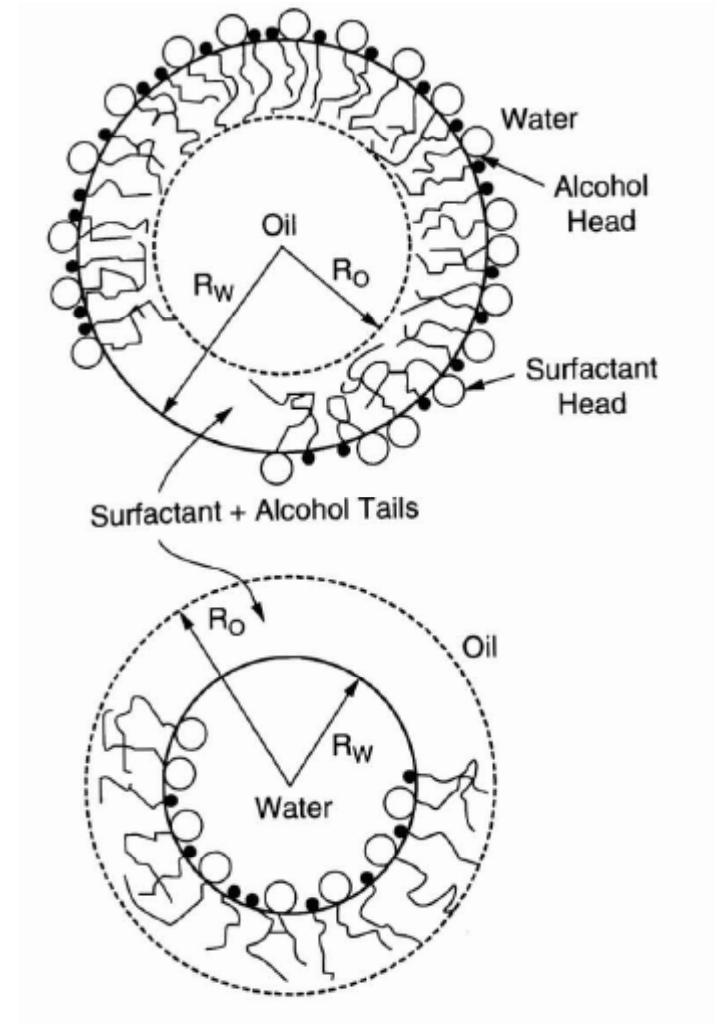
# Surfactant self-assembly



- At low concentrations surfactants form adsorbed layers of air/solution and solid/solution interfaces
- At **critical micellization concentration** (cmc) the surfactant starts self-assembling into micelles
  - driven by hydrophobic interaction
  - spontaneous and reversible cooperative transition

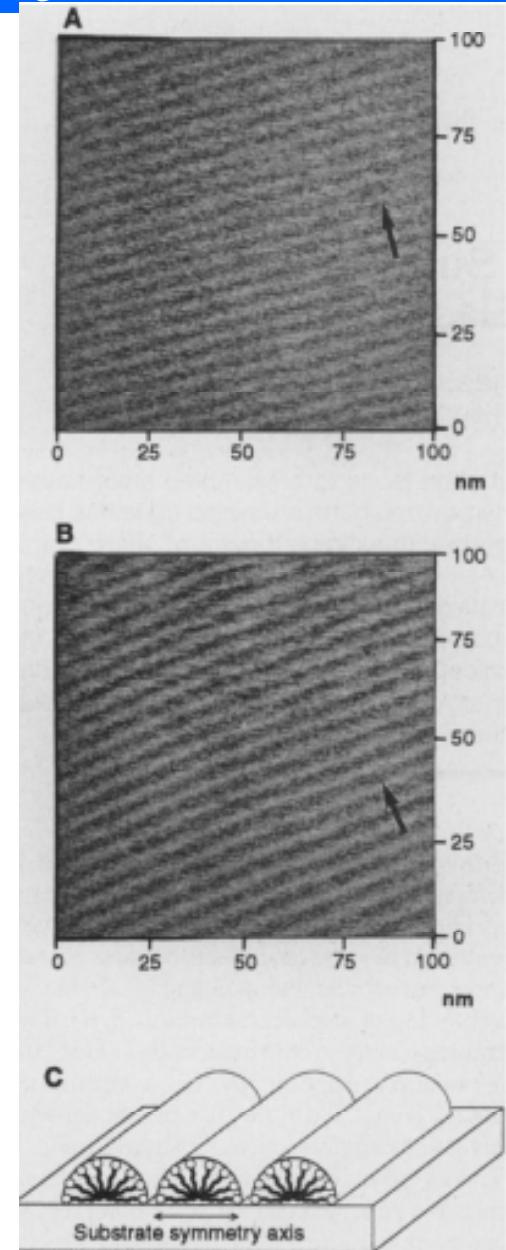
# Surfactant self-assembly

- Compounds that are sparingly soluble in water can dissolve in oily core above cmc yielding **solubilized systems**
- Addition of co-surfactants (usually alcohols) to an emulsion can lead to formation of a thermodynamically stable **microemulsion**
- Some surfactants self-assembling into **vesicles** (called **liposomes** in case of phospholipids)
- At high concentrations surfactants form **liquid crystalline phases**



# Adsorbed surfactant layers

- Surfactant in contact with clean adsorbs onto the surface
- Hydrophobic surfaces:
  - surfactant contacts surface via tail forming progressively more compact monolayers
  - at higher coverage the morphology was observed to form hemi-cylindrical aggregates irrespective of the nature of surfactants

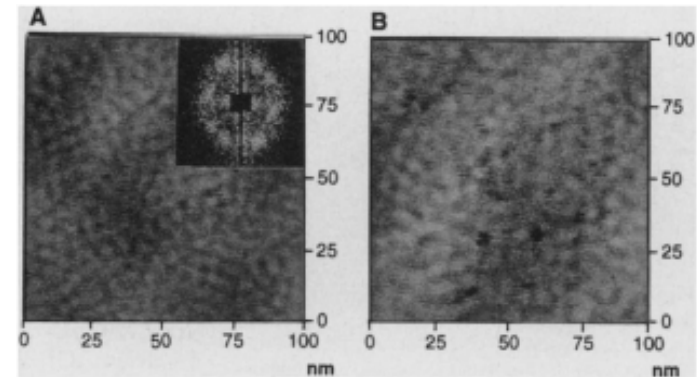


*S.Manne and H.Gaub, Science 270, 1480 (1995)*



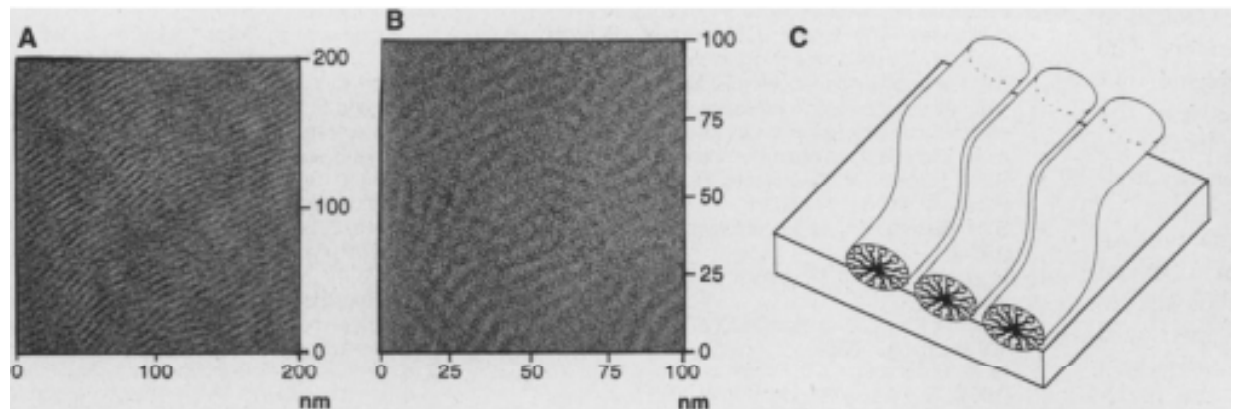
# Adsorbed surfactant layers

- Hydrophilic surfaces:
  - surfactant is attracted to the surface via ion-exchange step
  - then, surface aggregates are formed in a cooperative process
  - the morphology depends on the surfactant geometry, could be bilayer, sperical or cylindrical aggregates



C<sub>14</sub>TAB on silica 7mM.  
Sperical micelles, (A) pH 6.3;  
(B) pH=2.9

C<sub>14</sub>TAB on mica 7mM.  
cylindrical micelles



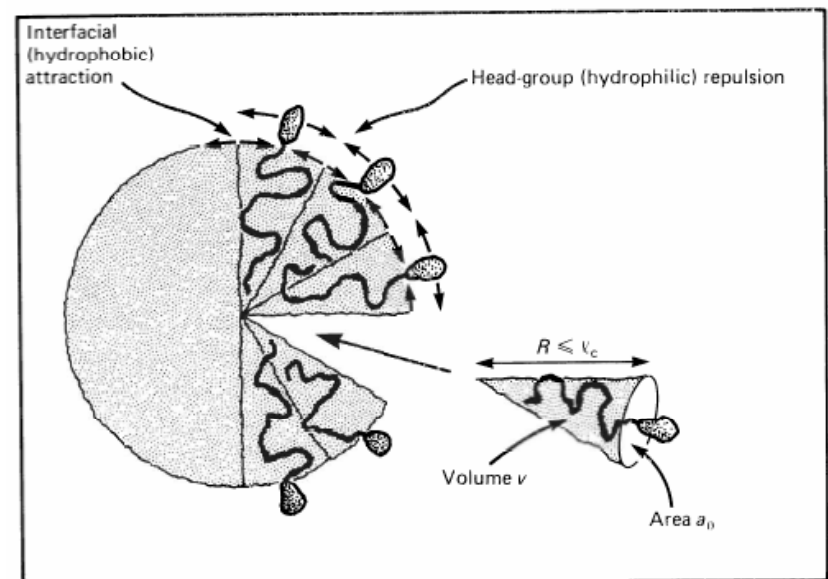
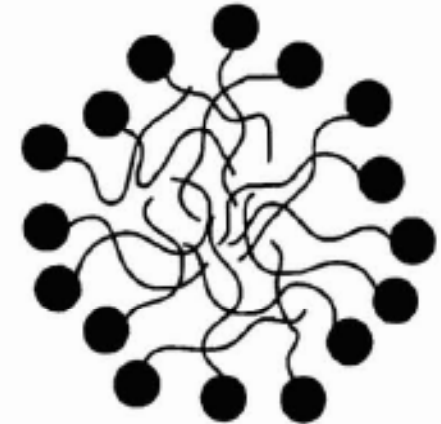
# Micelles

- The balance between the attractive and repulsive interaction in a micelles results in a micelles of finite size
- Micelles are spherical near CMC
- The aggregation number of a spherical micelle can be estimated as

$$N_s = \frac{4\pi l^3}{3v}$$

$$l \approx (0.154 + 0.1265 \cdot n) \text{ nm}$$

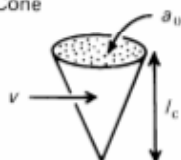
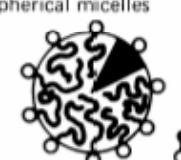

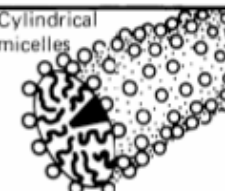

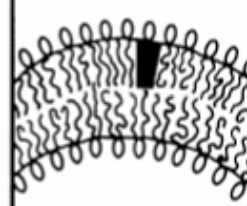

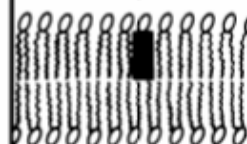

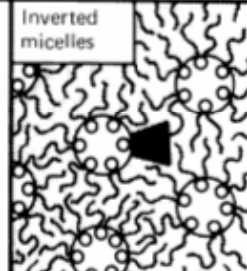
$$v \approx (27.4 + 26.9 \cdot n) \times 10^{-3} \text{ nm}^3$$



# Micelles

- At higher concentration the micellar shape is governed by the surfactant packing parameter

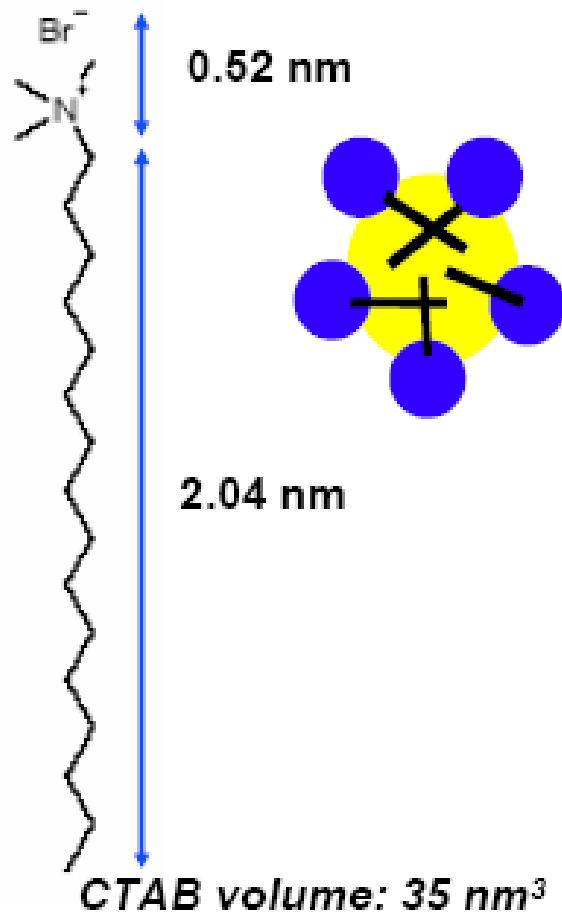
$$P = \frac{v}{a_0 l_c}$$

Lipid	Critical packing parameter $v/a_0 l_c$	Critical packing shape	Structures formed
Single-chained lipids (surfactants) with large head-group areas: <i>SDS in low salt</i>	$< 1/3$	Cone 	Spherical micelles 
Single chained lipids with small head-group areas: <i>SDS and CTAB in high salt, nonionic lipids</i>	$1/3-1/2$	Truncated cone 	Cylindrical micelles 
Double-chained lipids with large head-group areas, fluid chains: <i>Phosphatidyl choline (lecithin), phosphatidyl serine, phosphatidyl glycerol, phosphatidyl inositol, phosphatidic acid, sphingomyelin, DGDG*, dihexadecyl phosphate, dialkyl dimethyl ammonium salts</i>	$1/2-1$	Truncated cone 	Flexible bilayers, vesicles 
Double-chained lipids with small head-group areas, anionic lipids in high salt, saturated frozen chains. <i>phosphatidyl ethanolamine, phosphatidyl serine + Ca<sup>2+</sup></i>	$\sim 1$	Cylinder 	Planar bilayers 
Double-chained lipids with small head-group areas, nonionic lipids, poly (cis) unsaturated chains, high T: <i>unsat. phosphatidyl ethanolamine, cardiolipin + Ca<sup>2+</sup>, phosphatidic acid + Ca<sup>2+</sup>, cholesterol, MGDG<sup>b</sup></i>	$> 1$	Inverted truncated cone or wedge 	Inverted micelles 

# Micelles

Hydrophobic core, little penetration by water

Electrical double layer shell



Area per headgroup determined by:

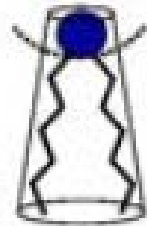
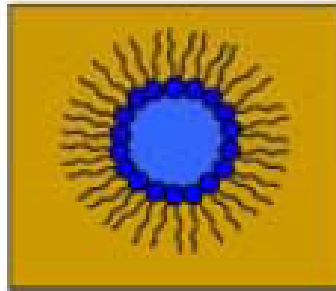
- surface tension: reduction by small area per headgroup
- electrostatic repulsion & chain packing: larger area per headgroup

Optimal headgroup area  $a_0$ , dependent on:

- amphiphile
- ionic strength
- temperature, pressure
- solvent

*But independent on chain length !  
(but shape differences possible)*

# Micelles



Negative or reversed curvature

$$P > 1$$

Water-in-oil

Oil-soluble micelles

*Factors affecting the surfactant parameter  $a_0$*

- Size of polar group
- Solvation (temperature)
- Salt concentration



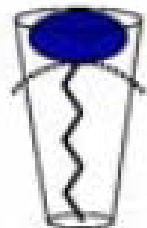
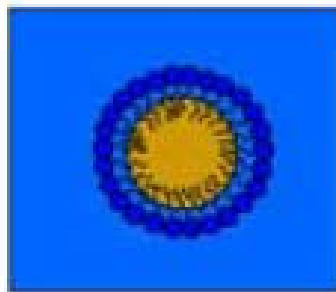
Zero or planar curvature

$$P = 1$$

Bicontinuous

*Factors affecting the surfactant parameter  $v/l_c$*

- Number of carbon atoms in chain
- Structure and mobility of chain
- Number of chains



Positive or normal curvature

$$P < 1$$

Water-soluble micelles

Oil-in-water microemulsions

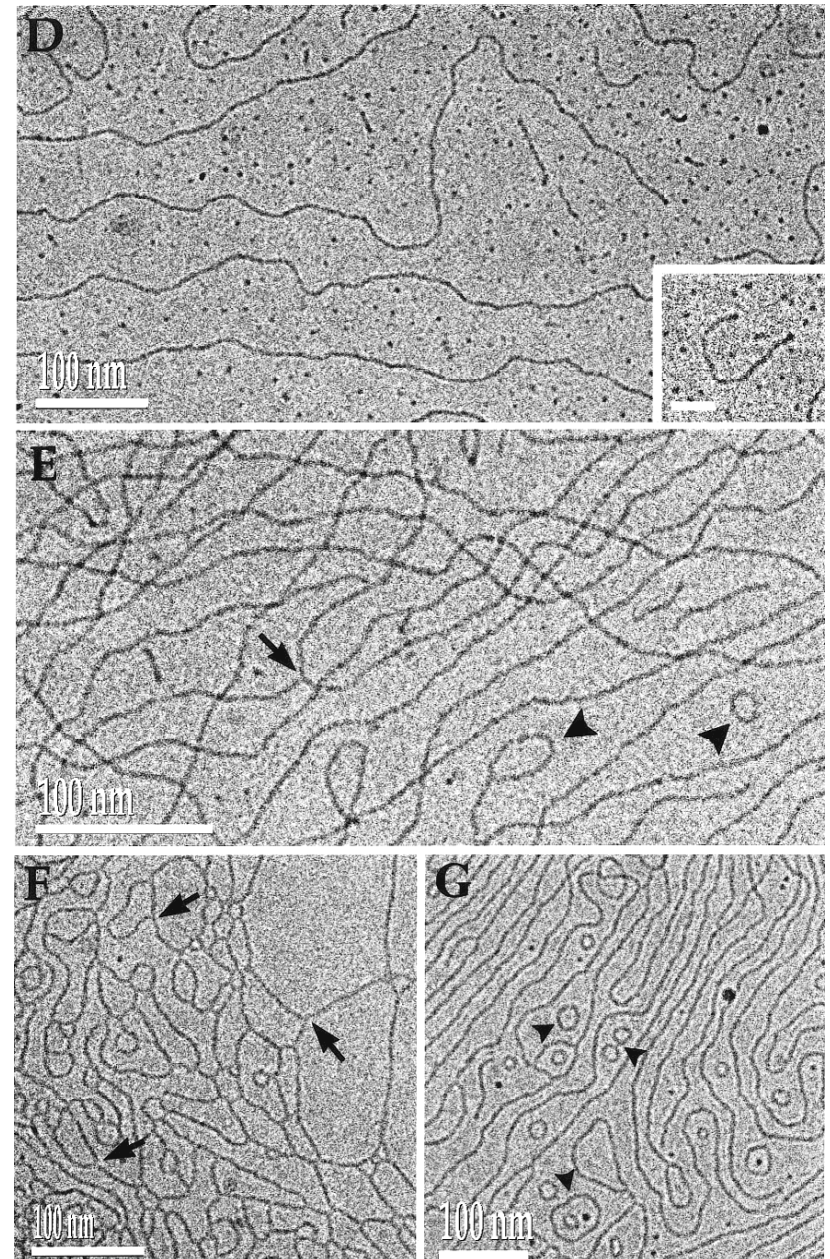
# Micelles

- The micelle oily core is in a quasi-liquid state
- very rapid movement of the chain
- very low viscosity
- extensive chain looping
- rapid fluctuation of micellar shape ( $10^{-3} - 10^{-10}$  s)



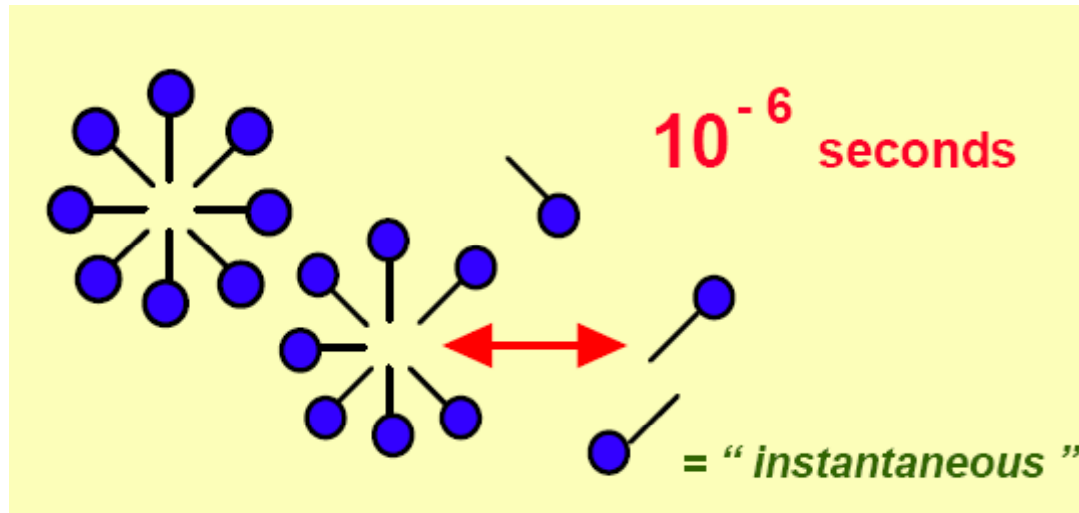
# Micelles

- Formation of giant thread-like micelles in gemini surfactant 12-2-12 solution observed by cryo-SEM, the concentration 0.74 wt% (D), 1wt% (E) and 1.5wt% (F and G)

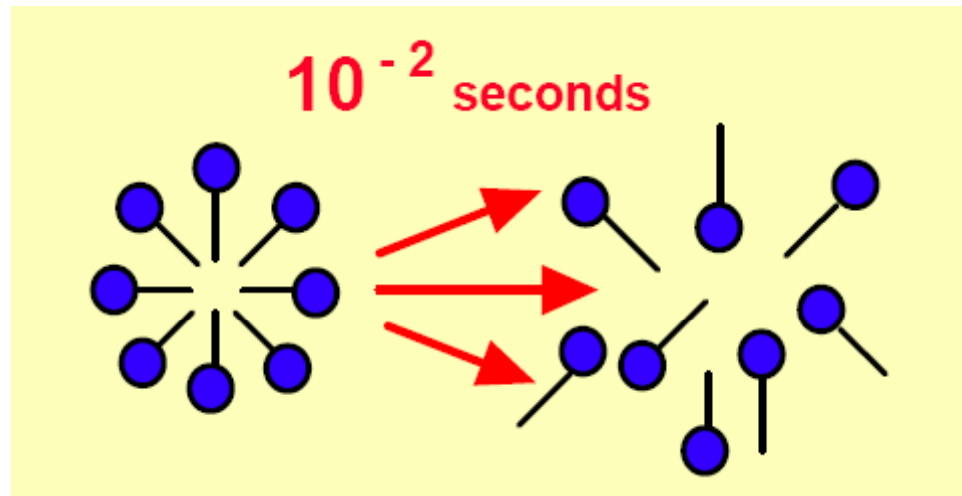


# Micelle is a dynamic object

- Molecular Relaxation time (exchange)



- Relaxation Time for Aggregate Dissolution





# CMC

- CMC value slightly depends on the experimental technique used
- Mainly determined by the nature of surfactant and the length of the chain
- Decreases exponentially with the chain length increase

$$\log cmc = b - a \cdot m$$

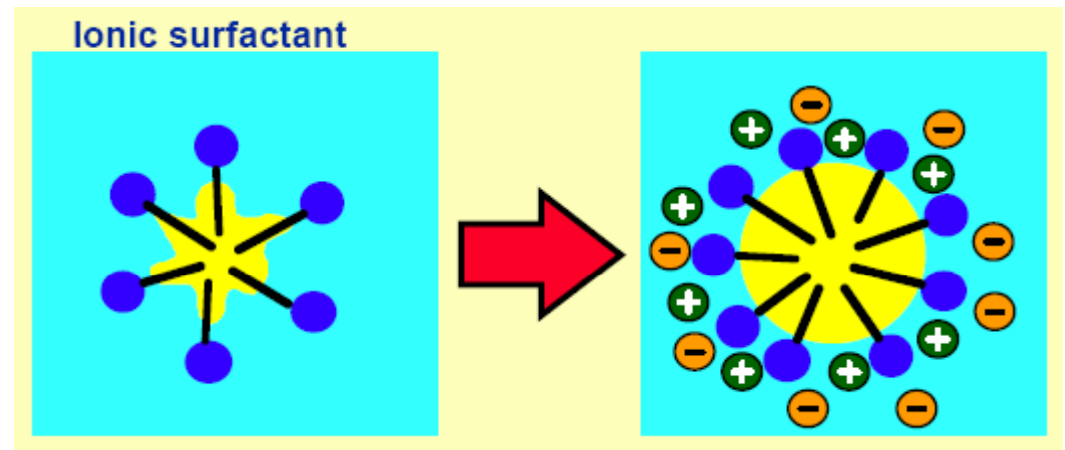
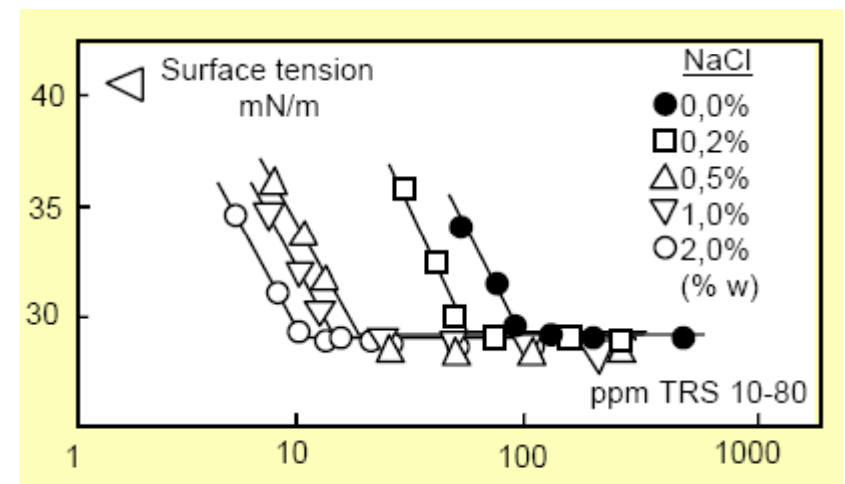
- CMC is increased with branching (increased solubility)

# CMC

- CMC Decreases upon increasing concentration of an added electrolyte that has a common ion with surfactant

$$\log cmc = A - B \cdot \log(cmc_0 + C_0)$$

- Explanation:  
Ions produce electrostatic screening. This allow the head groups to get closer



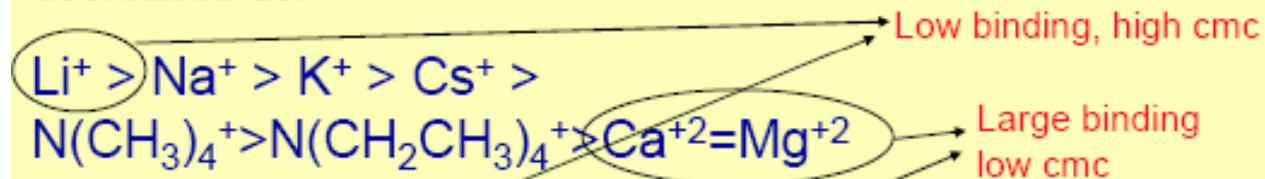
# CMC

- Effect of counter ion

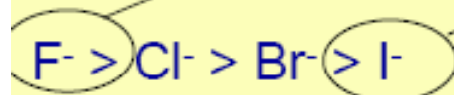
	mmol/L
<b>Li</b> Dodecyl sulfate	9
<b>K</b> Dodecyl sulfate	8
<b>Ca</b> Dodecyl sulfate	3
<b>Tetrabutyl-ammonium</b> Dodecyl sulfate	1

Increase in the degree of ion binding decrease the CMC.

For a given hydrophobic tail and anionic head group, the CMC decreases as:



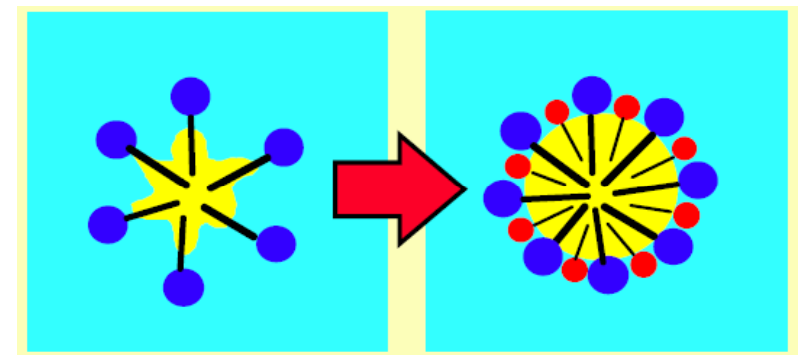
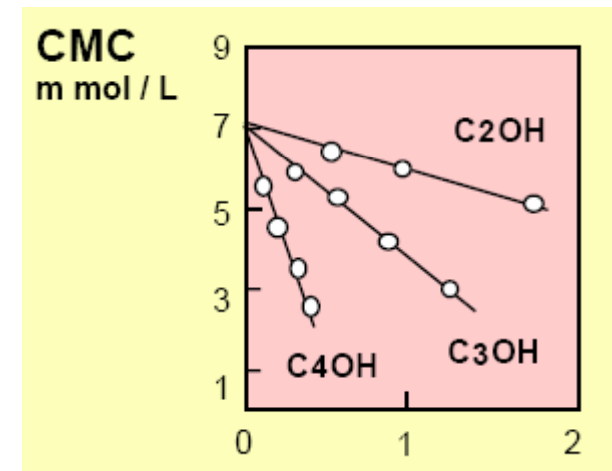
For cationic series such as the dodecyltrimethylammonium halides, the CMC decreases in the order



*Note that varying counterion valency produces a significant effect.*

# Solubilized systems

- Generally, all said about micelles holds for solubilized systems
- Short chain alcohols (methanol – propanol) reduce micelle size and transform them into spherical ones
- Medium chain alcohols (butanol-hexanol) reduce micelle size at lower concentration and increase at high concentration
- High chain alcohols increase the size of the micelles and change the shape



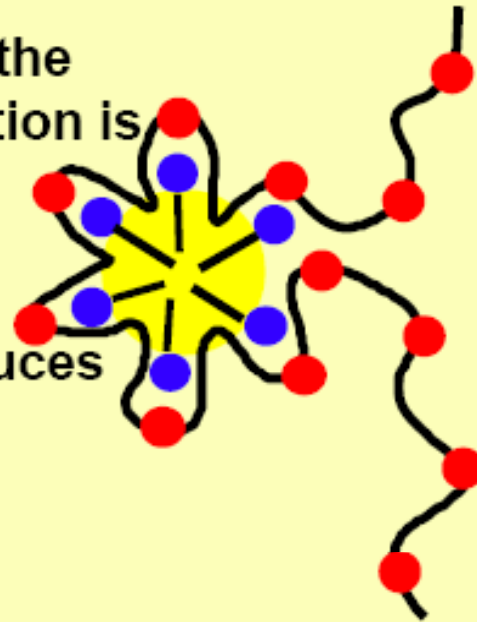
# Water soluble polymers/surfactant system

- Water-soluble polymers lower CMC and aggregation number
- form “necklace bearing beads”

- The hydrophobic part of the polymer is located between the head groups. Water penetration is avoided.

- Positive interaction that reduces repulsion

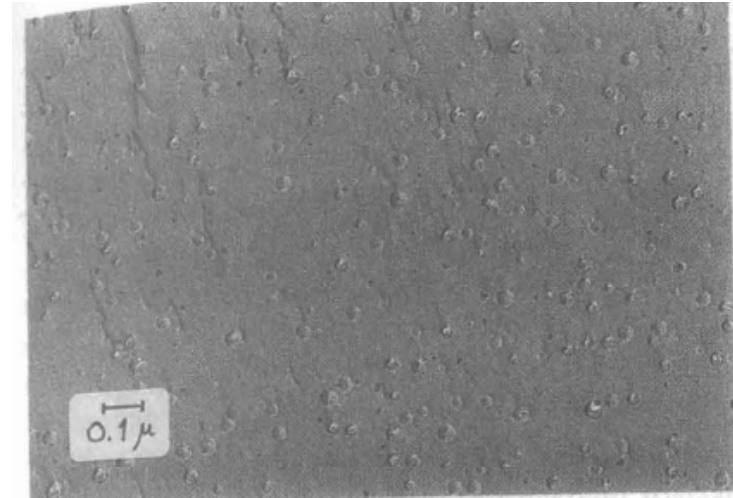
¡ FAVORABLE !



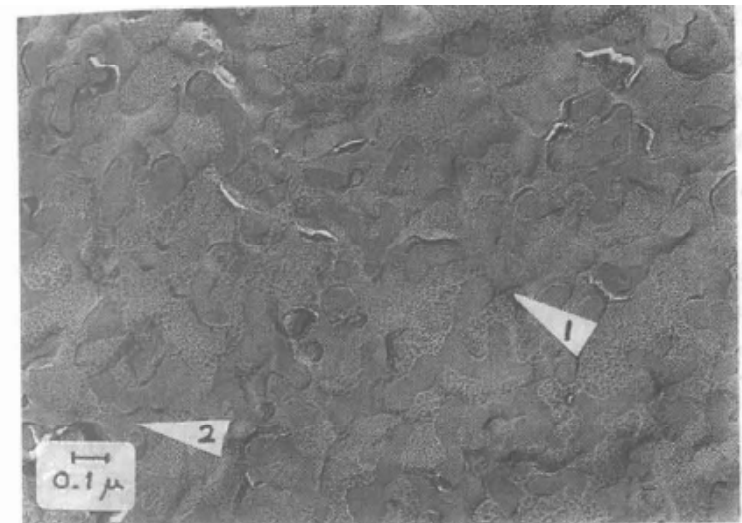
# Microemulsions

- First discovered by Hoar and Schulman in 1943: they found that is alcohol is added to benzene emulsion the system is clarified
- Significant interest after oil crisis in 1975 in attempt to improve oil recovery
- Surface tension at oil-water interface can be reduced down to  $10^{-4}$  mN/m<sup>2</sup>

water/n-octane/C<sub>12</sub>E<sub>5</sub> microemulsion



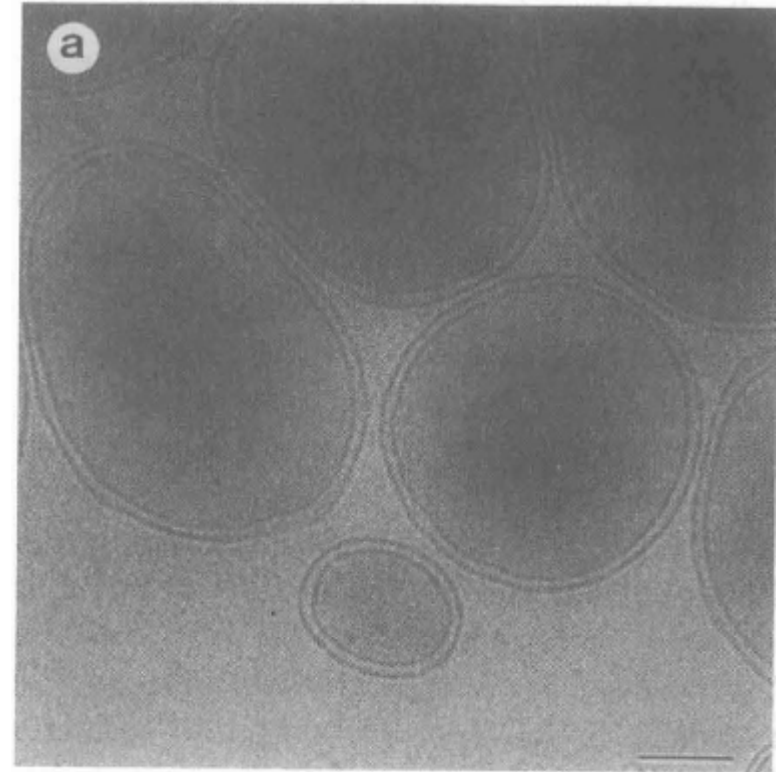
spherical micelles



bicontinuous phase

# Vesicles

- Vesicles in water are commonly observed with phospholipids and other two chain surfactants with 10 or more carbons
- Commonly prepared by:
  - solubilizing the amphiphile in organic solvent
  - evaporating the solution on glass surface
  - exposing the film to water
  - sonification, extrusion etc further used to reduce the vesicles into unilamellar
  - usually unstable
- Stable vesicles can be prepared by mixing two surfactants



vesicles in 12-20-12  
gemini surfactant

# Vesicles

- "Onion phase" (multilamellar vesicles)

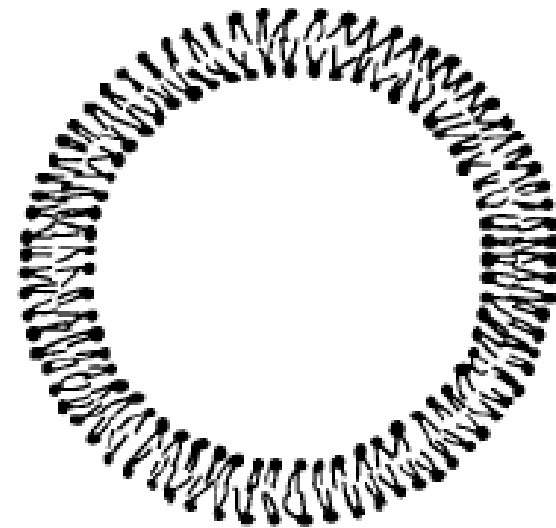




# Vesicles

## Bilayer structures:

- preparation difficult because solubility of monomers is extremely low
- strong, closed structures
- slow dynamics
- compartmentization

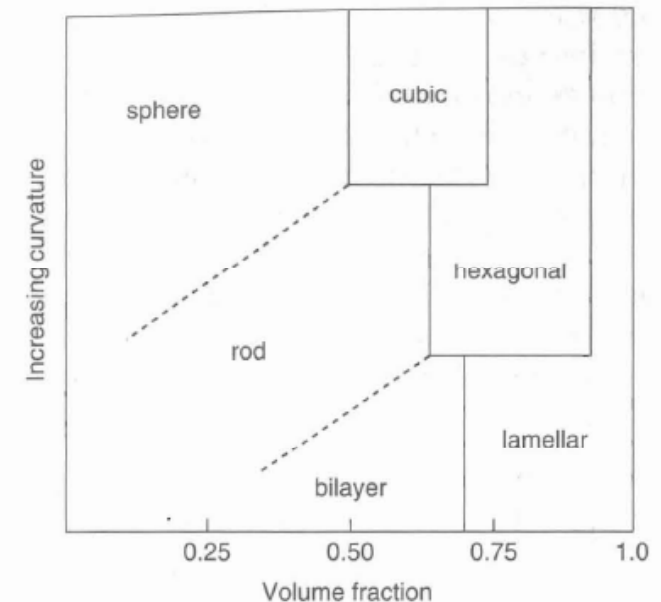


## Detection:

- DSC (differential scanning calorimetry)
- freeze fracture electron microscopy
- fluorescence spectroscopy

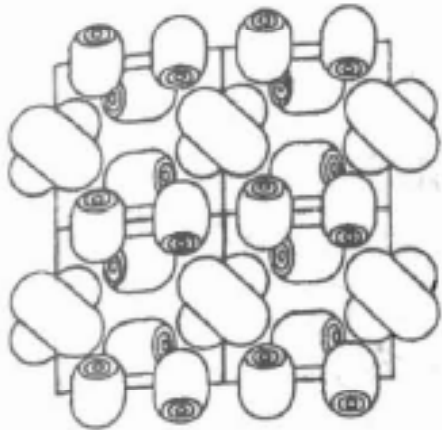
# Liquid crystal phases

- As the surfactant concentration increases, repulsive intermicelle interaction induce micelle ordering
- For spherical micelles ( $P < 1/3$ ) :  
**Spherical micelles → cubic phase → hexagonal phase → lamellar phase**
- For elongated ( $1/3 < P < 1/2$ ) or disklike ( $1/2 < P < 1$ )  
**Disordered elongated micelles → hexagonal phase → lamellar phase**  
**Disordered disklike micelles → lamellar phase**
- As the system tries to keep intermicelle distance as large as possible, the micelle might coarsened before:  
**Spherical micelles → cubic phase → hexagonal phase → lamellar phase**  
**Disordered elongated micelles → hexagonal phase → lamellar phase**

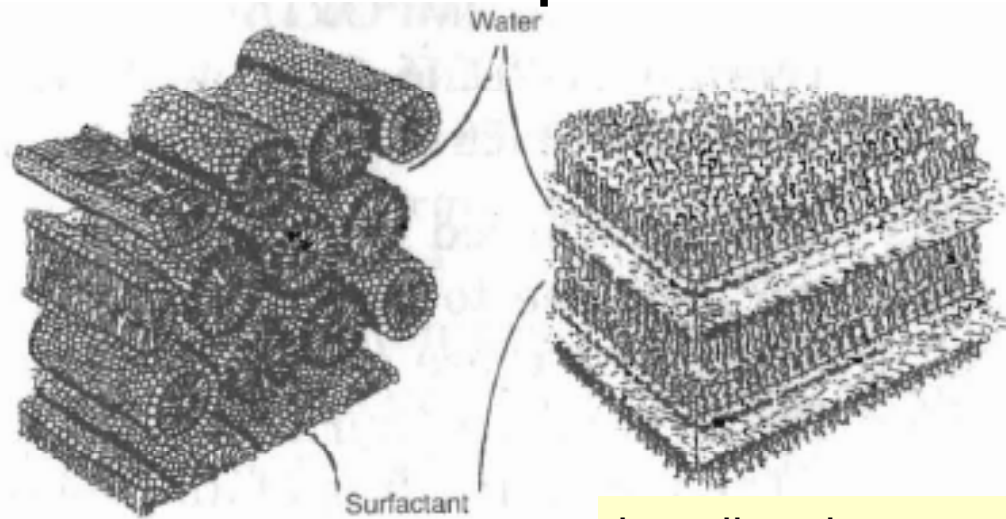


# Liquid crystal phases

- Commonly encountered mesophases



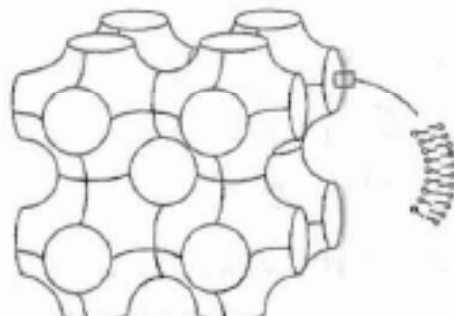
discontinuous  
cubic phase with  
elongated micelles



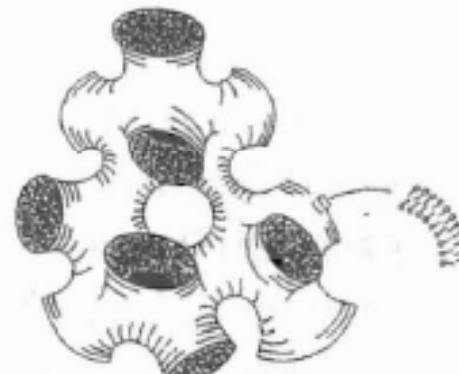
hexagonal phase

lamellar phase

amphiphile surface  
divides space into  
two interconnected  
domains



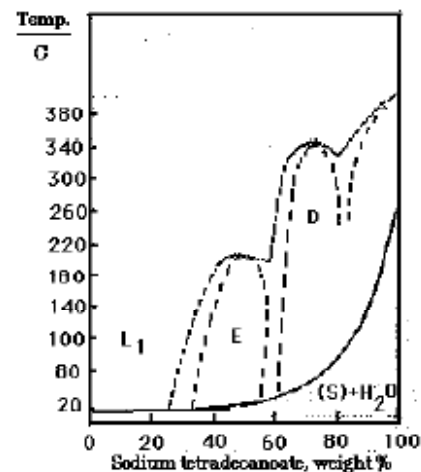
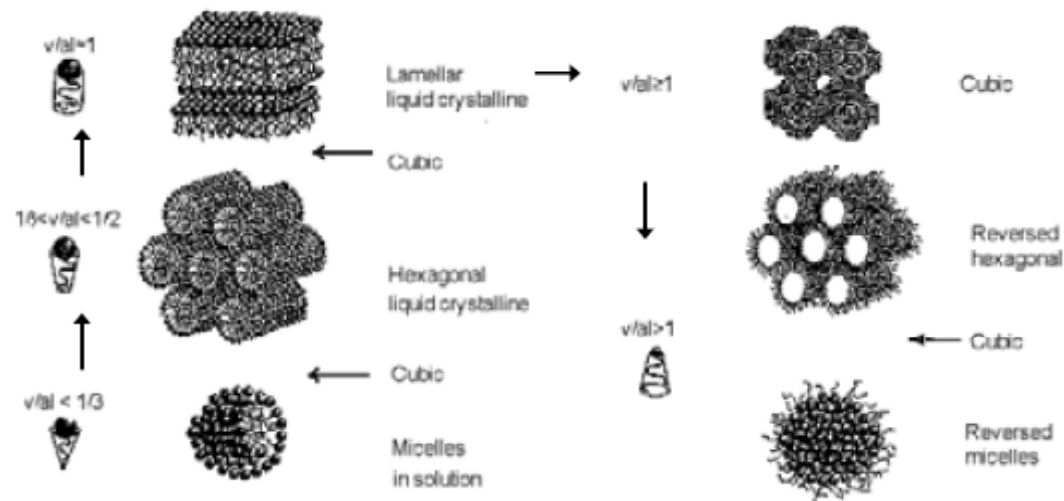
**bicontinuous**  
cubic phase



disordered bicontinuous cubic  
phase (L<sub>3</sub>)

# Liquid crystal phases

- Dependence between the phase structure and the structure of surfactant



Phase diagram for sodium tetradecanoate (myristate)/water system

L<sub>1</sub> = micellar solution,  
S = solid,  
E = hexagonal,  
D = lamellar liquid crystalline phase.